



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be complete appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDR maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

10/18/2004

Woodard, Emhardt, Naughton, Moriarty and McNett  
Bank One Center/Tower  
Suite 3700  
111 Monument CircleIndianapolis, IN 46204-5137  
01/14/2005 CNG02EN1 00000086 0994105601 FC:1501 1400.00 OP  
02 FC:1504 300.00 OP

Note: A certificate of mailing can only be used for domestic mailin Fee(s) Transmittal. This certificate cannot be used for any other accom papers. Each additional paper, such as an assignment or formal draw have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with th States Postal Service with sufficient postage for first class mail in an addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO (703) 746-4000, on the date indicated below

Christopher A. Brown (Deposi  
January 10, 2005

APPLICATION NO.	FILING DATE	FIRST-NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION
09/941,056	08/28/2001	Matthew M. Morrison	4002-2817	6549

TITLE OF INVENTION: MULTI-AXIAL BONE ANCHOR SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1370</del> \$1400	\$300	<del>\$1670</del> \$1700	01/18/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
ROBERT, EDUARDO C	3732	606-061000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

WOODARD, EMHARDT, MORIARTY,  
MCNETT & HENRY LLP  
PATENT AND TRADEMARK ATTORNEYS  
BANK ONE CENTER TOWER  
111 MONUMENT CIRCLE, SUITE 3700  
INDIANAPOLIS, INDIANA 46204-5137

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SDGI Holdings, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Wilmington, Delaware

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Gov

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpay Deposit Account Number 23-3030 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified abo NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Christopher A. Brown

Date

January 10, 2005

Typed or printed name

Christopher A. Brown

Registration No.

41,642

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, prepa submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Comme Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PIPF 1324  
JAN 13 2005  
PATENT & TRADEMARK OFFICE

PTO/SB/17 (11-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1700.00

## Complete if Known

Application Number 09/941,056  
Filing Date 08/28/2001  
First Named Inventor Matthew M. Morrison  
Examiner Name Robert, Eduardo C.  
Art Unit 3732  
Attorney Docket No. 4002-2817

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order

☒ Deposit Account ☐ None

Deposit  
Account  
Number  
Deposit  
Account  
Name

23-3030

Woodard, Emhardt et al

The Director is hereby authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  
☒ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify): \_\_\_\_\_

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING FEE

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility Filing Fee	790	395	
Design Filing Fee	350	175	
Plant Filing Fee	550	275	
Reissue Filing Fee	790	395	
Provisional Filing Fee	160	80	

Subtotal (1) \$

## FEE CALCULATION (continued)

### 2. EXTRA CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

Total Claims Extra Claims Fee (\$) Fee Paid (\$)  
- 20 or HP = x =  
HP = highest number of total claims paid for, if greater than 20

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)  
- 3 or HP = x =  
HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims Fee (\$) Fee Paid (\$)

Subtotal (2) \$

### 3. OTHER FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
1-month extension of time	110	55	
2-month extension of time	430	215	
3-month extension of time	980	490	
4-month extension of time	1,530	765	
5-month extension of time	2,080	1,040	
Information disclosure stmt. fee	180	180	
37 CFR 1.17(q) processing fee	50	50	
Non-English specification	130	130	
Notice of Appeal	340	170	
Filing a brief in support of appeal	340	170	
Request for oral hearing	300	150	

Other: Issue Fee & Publication Fee 1700

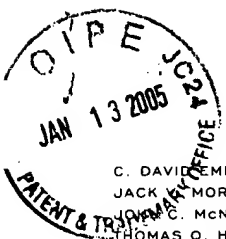
Subtotal (3) \$ 1700

## SUBMITTED BY

Signature Christopher A. Brown Registration No. (Attorney/Agent) 41,642 Telephone (317) 634-3456  
Name (Print/Type) Christopher A. Brown Date Jan. 10, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# WOODARD, EMHARDT, MORIARTY, McNETT & HENRY LLP

C. DAVID EMHARDT  
JACK EMHARDT  
JOHN C. McNETT  
THOMAS O. HENRY  
JAMES M. DURLACHER  
CHARLES R. REEVES  
VINCENT O. WAGNER  
STEVE ZLATOS  
SPIRO BEREVESKOS  
CLIFFORD W. BROWNING  
R. RANDALL FRISK  
DANIEL J. LUEDERS  
KENNETH A. GANDY

TIMOTHY N. THOMAS  
KURT N. JONES  
JOHN H. ALLIE  
HOLIDAY W. BANTA  
TROY J. COLE  
L. SCOTT PAYNTER  
CHARLES J. MEYER  
MATTHEW R. SCHANTZ  
GREGORY B. COY  
LISA A. HIDAY  
JOHN V. DANILUCK  
CHRISTOPHER A. BROWN  
ARTHUR J. USHER IV

PATENT AND TRADEMARK ATTORNEYS  
BANK ONE CENTER/TOWER  
111 MONUMENT CIRCLE, SUITE 3700  
INDIANAPOLIS, INDIANA 46204-5137

(317) 634-3456  
FAX (317) 637-7561  
www.uspatent.com

DOUGLAS A. COLLIER  
BRAD A. SCHEPERS  
JAMES B. MYERS, JR.  
JOHN M. BRADSHAW  
QUENTIN G. CANTRELL  
CHARLES P. SCHMAL  
JASON A. HOUDEK  
JOHN L. ROBERTS  
DENISE M. GOSNELL  
JOHN J. EMANUELE  
MICHAEL S. WHERRY  
ELIZABETH A. SHUSTER

JOSEPH A. NAUGHTON  
SENIOR COUNSEL

SCOTT J. STEVENS  
EDWARD E. SOWERS  
MICHAEL C. BARTOL  
OF COUNSEL

CHARLES P. JACOBS  
(1837-1892)  
VIRGIL H. LOCKWOOD  
(1860-1932)  
RALPH G. LOCKWOOD  
(1890-1957)  
HAROLD R. WOODARD  
(1911-2003)

January 10, 2005

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The enclosed PTO-2038 form authorizes the issue fee payment in the amount and manner set forth below to be charged to the American Express Card number stated on said form. Please charge any additional fees which may be due or credit any overpayments to deposit account No. 23-3030.

1. Issue fee due on Matthew M. Morrison application, Ser. No. 09/941,056 entitled MULTI-AXIAL BONE ANCHOR SYSTEM - see attached sheet.  
\$ 1400.00
2. Publication fee due on patent serial No. 09/941,056 - see attached sheet

300.00

TOTAL BALANCE TO BE PAID:

\$ 1700.00

Sincerely,

Kari K. Woehler

lds  
Enclosures

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 10, 2005.

Christopher A. Brown

Name of Registered Representative

Signature

January 10, 2005

Date of Signature